

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	:	
Donoho	:	Confirmation No.: 7085
Serial No.: 10/686,462	:	Group Art Unit: 3691
Filed: October 15, 2003	:	Examiner: Edward Chang
TITLE:		METHOD AND SYSTEM FOR THE PROTECTION OF BROKER AND INVESTOR RELATIONSHIPS, ACCOUNTS AND TRANSACTIONS

**AMENDMENT AND RESPONSE TO OFFICE ACTION UNDER 37 C.F.R. §1.111**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

This Amendment and Response constitutes a complete and timely reply to the Office Action mailed November 24, 2009 in the above-identified application. The following remarks are respectfully submitted.

**Amendments to the Claims** begin on page 2.

Remarks begin on page 17.